

1 IN THE UNITED STATES DISTRICT COURT  
2 FOR THE DISTRICT OF PUERTO RICO

3 UNITED STATES OF AMERICA,

4 Plaintiff,

5 v.

6 [3] GABRIEL RODRIGUEZ-ROSARIO

7 a/k/a "Tito Rodríguez"

8 Defendant.

CRIMINAL 07-446 (ADC-MEL)

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10 MAGISTRATE JUDGE'S REPORT AND RECOMMENDATION  
RE: RULE 11 PROCEEDINGS (PLEA OF GUILTY)

11 I. Procedural Background

12 On October 24, 2007, a grand jury returned a four-count indictment against Gabriel Rodríguez-  
13 Rosario a/k/a "Tito Rodríguez" (hereinafter referred to as "defendant") and six other individuals.  
14 Docket 1. Defendant was charged in counts two and four of the indictment. On February 27, 2008,  
15 defendant filed a motion for change of plea. Docket 135.

16 The defendant has agreed to plead guilty to count two of the indictment. Count two charges that  
17 on or about October 20, 2007, in the District of Puerto Rico, and elsewhere within the jurisdiction of  
18 this Court, defendant Gabriel Rodríguez-Rosario a/k/a "Tito Rodríguez", being an alien previously  
19 deported from the United States, knowingly attempted to enter the United States without obtaining,  
20 prior to his reembarkation at a place outside of the United States, the express consent from the Attorney  
21 General of the United States, or his successor, the Secretary of Homeland Security, acting through the  
22 Under Secretary for Border and Transportation Security, pursuant to Title 6, United States Code,  
23 Sections 202(3), 202(4) and 557, to such alien's reapplying for admission. According to count two,  
24 the aforesaid offense was committed after defendant was convicted and deported for an aggravated  
25 felony, all in violation of Title 8, United States Code, Sections 1326(a)(2) and (b)(2).

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3 **II. Consent to Proceed Before a Magistrate Judge**4 On March 12, 2008, while assisted by Víctor Miranda, Esq., the defendant, by consent,  
5 appeared before the undersigned in order to change his previous not guilty plea to a plea of guilty as to  
6 count two of the indictment.7 In open court the defendant was questioned as to the purpose of the hearing being held and was  
8 advised of: (a) the nature and purpose of the hearing; (b) the fact that all inquiries were to be conducted  
9 under oath and that it was expected that his answers would be truthful; (c) the potential consequences  
10 of lying under oath (such as a perjury charge); and (d) his right to have the change of plea proceedings  
11 presided by a district judge instead of a magistrate judge. The defendant was also explained the  
12 differences between the appointment and functions of the two. The defendant consented to proceed  
13 before the undersigned magistrate judge.14 **III. Proceedings Under Rule 11, Federal Rules of Criminal Procedure**15 **A. Rule 11(c)(1) Requirements**16 Rule 11 of the Federal Rules of Criminal Procedure governs the acceptance of  
17 guilty pleas to federal criminal violations. Pursuant to Rule 11, in order for a plea of  
18 guilty to constitute a valid waiver of the defendant's right to trial, guilty pleas must be  
19 knowing and voluntary: "Rule 11 was intended to ensure that a defendant who pleads  
20 guilty does so with an 'understanding of the nature of the charge and consequences of  
21 his plea.'" United States v. Cotal-Crespo, 47 F.3d 1, 4 (1<sup>st</sup> Cir. 1995) (quoting  
McCarthy v. United States, 394 U.S. 459, 467 (1969)). [There are three core concerns  
in these proceedings]: 1) absence of coercion; 2) understanding of the charges; and 3)  
knowledge of the consequences of the guilty plea. United States v. Cotal-Crespo, 47  
F.3d at 4 (citing United States v. Allard, 926 F.2d 1237, 1244-45 (1<sup>st</sup> Cir. 1991)).22 United States v. Hernández-Wilson, 186 F.3d 1, 5 (1<sup>st</sup> Cir. 1999).23 In response to further questioning, defendant was explained and he understood that if convicted  
24 on count two he will face the following maximum penalties: a term of imprisonment of not more than  
25 twenty (20) years, a fine not to exceed two hundred fifty thousand dollars (\$250,000.00), and a term of  
26 supervised release of not more than three (3) years. Defendant was also made aware that the court must  
27 impose a mandatory penalty assessment of one hundred dollars (\$100) per offense pursuant Title 18,  
28 United States Code, Section 3013(a).

The defendant was advised that the ultimate sentence was a matter solely for the court to decide in its discretion and that, even if the maximum imprisonment term and fine were to be imposed upon him, he later could not withdraw his guilty plea for that reason alone. The defendant understood these explanations.

### **B. Admonishment of Constitutional Rights**

To assure defendant's understanding and awareness of his rights, defendant was advised of his right:

1. To remain silent at trial and be presumed innocent, since it is the government who has the burden of proving him guilty beyond a reasonable doubt.

2. To testify or not to testify at trial, and that no adverse inference could be made in relation to his decision not to testify.

3. To a speedy trial before a district judge and a jury, at which he would be entitled to see and cross examine the government witnesses, present evidence on his behalf, and challenge the government's evidence.

4. To have a unanimous verdict rendered by a jury of twelve persons which would have to be convinced of defendant's guilt beyond a reasonable doubt by means of admissible evidence.

5. To use the subpoena power of the court to compel the attendance of witnesses.

Upon listening to the defendant's responses, observing his demeanor and his speaking with his attorney, that to the best of counsel's belief defendant had fully understood his rights, it is determined that defendant is aware of his constitutional rights.

### **C. Consequences of Pleading Guilty**

Upon advising defendant of his constitutional rights, he was further advised of the consequences of pleading guilty. Specifically, defendant was advised that by pleading guilty and upon having his guilty plea accepted by the court, he will be giving up the above rights and will be convicted solely on his statement that he is guilty.

2 Furthermore, the defendant was admonished of the fact that by pleading guilty he would not be  
3 allowed later on to withdraw his plea because he eventually might disagree with the sentence imposed,  
4 and that if he violates the conditions of supervised release, that privilege could be revoked and he could  
5 be required to serve an additional term of imprisonment. He was also explained that parole has been  
6 abolished.

7 **D. Plea Agreement**

8 The parties have entered into a written plea agreement that, upon being signed by the  
9 government, defense attorney and defendant, was filed and made part of the record. Defendant was  
10 clearly warned and recognized having understood that:

11 1. The plea agreement is not binding upon the sentencing court.

12 2. The plea agreement is an agreement between the defendant, defense counsel and the attorney  
13 for the government which is presented as a recommendation to the court in regards to the applicable  
14 sentencing adjustments and guidelines, which are advisory.

15 3. The agreement provides a sentencing recommendation and/or anticipated sentencing  
16 guideline computation, that can be either accepted or rejected by the sentencing court.

17 4. In spite of the plea agreement and any sentencing recommendation contained therein, the  
18 sentencing court retains full discretion to reject such plea agreement and impose any sentence up to the  
19 maximum possible penalty prescribed by statute.

20 Defendant acknowledged having understood this explanation.

21 **E. Government's Evidence (Basis in Fact)**

22 The government presented a proffer of its evidence as stated in the version of facts of the plea  
23 agreement with which the defendant concurred. Accordingly, it is determined that there is a basis in fact  
24 and evidence to establish all the elements of the offense charged.

25 **F. Voluntariness**

26 The defendant accepted that no threats had been made to induce him to plead guilty and that he  
27 did not feel pressured to plead guilty. He came to the hearing for the purpose of pleading guilty and  
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listened attentively as the prosecutor outlined the facts which would have been proven had the case proceeded to trial.

#### IV. Conclusion

5 The defendant, by consent, has appeared before me pursuant to Rule 11, Federal Rules of  
6 Criminal Procedure, and has entered a plea of guilty as to count two of the indictment. After cautioning  
7 and examining the defendant under oath and in open court, concerning each of the subject matters  
8 mentioned in Rule 11, as described in the preceding sections, I find that defendant  
9 [3] Gabriel Rodríguez-Rosario a/k/a “Tito Rodríguez” is competent to enter this guilty plea, is aware of  
10 the nature of the offense charged and the maximum statutory penalties that the same carries, understands  
11 that the charge is supported by the government’s evidence, has admitted to every element of the offense  
12 charged, and has done so in an intelligent and voluntary manner with full knowledge of the consequences  
13 of his guilty plea. Therefore, I recommend that the court accept the guilty plea of the defendant and that  
14 the defendant be adjudged guilty as to count two of the indictment.

15 This report and recommendation is filed pursuant to 28 U.S.C. § 636(b)(1)(B) and Rule 72(d)  
16 of the Local Rules of Court. Any objections to the same must be specific and must be filed with the  
17 Clerk of Court within ten (10) days of its receipt. Rule 72(d), Local Rules of Court; Fed. R. Civ. P.  
18 72(b). Failure to timely file specific objections to the report and recommendation is a waiver of the right  
19 to review by the district court. United States v. Valencia-Copete, 792 F.2d 4 (1<sup>st</sup> Cir. 1986).

## SO RECOMMENDED.

At San Juan, Puerto Rico, this 12<sup>th</sup> day of March, 2008.

s/Marcos E. López  
UNITED STATES MAGISTRATE JUDGE